



## Health Complaints Trends Report 2019-20 to 2023-24

October 2024



Supporting improvement through complaint resolution



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## Acknowledgement

We acknowledge the Aboriginal people of the many traditional lands and language groups of Western Australia. We acknowledge the wisdom of Aboriginal Elders both past and present and pay respect to the Aboriginal communities of today.

The Aboriginal art featured on this page is an extract from Holistic Perspicacity by Djarliny, a Noongar artist hailing from the Busselton region.

The original artwork is on display in our Office. It represents community, trust, communication, and wellness:

*"When challenges are shared within a trusted environment, we improve our understanding and gain the necessary tools to allow a positive solution to be found in the collective experience of many people."* (Djarliny)



## Contents

Su	nmary o	of provider managed complaints 2019-20 to 2023-24	5		
1.	Introdu	iction	6		
2.	Compl	aint trends	7		
2.1	Indiv	idual making the complaint	7		
2.2	Age a	and gender	8		
2.3	Time	taken to resolve complaints	9		
2.4	Com	olaint issue categories	10		
	2.4.1	Complaints about quality of clinical care	11		
	2.4.2	Complaints about communication	12		
	2.4.3	Complaints about access	13		
	2.4.4	Complaints about rights, respect and dignity	14		
	2.4.5	Complaints about cost	15		
	2.4.6	Complaints about corporate services	16		
	2.4.7	Complaints about misconduct	17		
	2.4.8	Complaints about decision making	18		
	2.4.9	Complaints about grievances/complaint handling	19		
	2.4.10	Complaints about carers	20		
3.	Outcor	nes achieved	21		
4.	Mental	health complaints	22		
	4.1 Indiv	vidual making the complaint	22		
	4.2 Age	and gender of consumer	23		
	4.3 Mer	ital health issues	24		
	4.4 Mer	tal health outcomes	25		
Ap	oendix 1	: Health Complaints Data Collection Program	26		
	Purpose	e of this report	26		
	Notes o	n interpretation	26		
	Data limitations				
Ap	pendix 2	2: Definition of terms used	27		
		8: Health providers prescribed under s75 of the <i>Health and</i> Services (Complaints) Act 1995	28		

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## Figures

Figure 1: Received and closed health service complaints (2019-20 to 2023-24)	7
Figure 2: Individual making the complaint	7
Figure 3: Age of the consumer	8
Figure 4: Consumer gender	8
Figure 5: Time taken to resolve complaints	9
Figure 6: Issue categories identified	10
Figure 7: Issues identified in complaints about quality of clinical care	11
Figure 8: Issues identified in complaints about communication	12
Figure 9: Issues identified in complaints about access	13
Figure 10: Issues identified in complaints about rights, respect and dignity	14
Figure 11: Issues identified in complaints about cost	15
Figure 12: Issues identified in complaints about corporate services	16
Figure 13: Issues identified in complaints about misconduct	17
Figure 14: Issues identified in complaints about decision making	18
Figure 15: Issues identified in complaints about grievances/complaint handling	19
Figure 16: Issues identified in complaints about carers	20
Figure 17: Outcomes achieved	21
Figure 18: Received and closed mental health complaints (2019-20 to 2023-24)	22
Figure 19: Individual making the mental health complaint	22
Figure 20: Age of consumer	23
Figure 21: Gender of consumer	23
Figure 22: Mental health issue categories	24
Figure 23: Mental health outcomes achieved	25



# Summary of provider managed complaints 2019-20 to 2023-24

#### **Complaint trends and demographics**

- The 7,591 complaints received in 2023-24 represent a 3% increase from the 7,351 complaints received in 2022-23.
- Complaints are most frequently made by the consumer (as opposed to a representative).
- Complaints are more likely to concern females than males, and most likely to concern consumers aged 70 or older.
- Providers closed at least 75% of complaints within 30 days.

### **Complaint issues**

- The most common complaint categories related to quality of clinical care, communication and access. These have remained unchanged since 2019-20, and account for approximately 70% of all complaint issues over the past five years. Within these categories:
  - Quality of clinical care 'Inadequate treatment/therapy', 'inadequate assessment' and 'discharge or transfer arrangements' are the most frequently identified issues regarding quality of clinical care.
  - **Communication** 'Misinformation or failure in communication (but not 'failure to consult')', 'failure to listen to consumer/consumer representative/carer/family' and 'inappropriate verbal/non-verbal communication' are the most frequently identified issues regarding communication.
  - Access 'Delay in admission or treatment', 'inadequate resources/lack of service', and 'waiting list delay' are the most frequently identified issues regarding access.

### **Complaint outcomes**

• The most common outcomes have remained consistent across the five years from 2019-20 to 2023-24. These were 'apology provided', 'explanation provided' and 'concern registered'.

### **Mental Health Complaints**

- A subset of mental health complaints is collected from the public Health Service Providers (HSPs). In 2023-24, 492 complaints were received. This represents an 8% decrease from the number of complaints received in 2022-23 (534).
- The most common mental health complaint issues in 2023-24 were 'quality of clinical care', 'communication' and 'rights, respect and dignity'.



## 1. Introduction

The Health and Disability Services Complaints Office (HaDSCO) is an independent Statutory Authority providing an impartial resolution service for complaints relating to health, disability and mental health services in Western Australia and the Indian Ocean Territories, covering the public, private and not-for-profit sectors, and prison health services.

Under Section 75 of the *Health and Disability Services (Complaints) Act 1995* and the *Health and Disability Services (Complaints) Regulations 2010*, each year HaDSCO collects de-identified complaints data from prescribed health service providers in Western Australia. This data is collected through HaDSCO's Health Complaints Data Collection Program, which commenced in 2009-10.

The information provided in this report provides analysis of the complaint trends observed through the Health Complaints Data Collection Program between 2019-20 and 2023-24. The information in this report can assist organisations to learn from complaints and improve service delivery to ensure patient-centred care for a sustainable health system.

Information about the methodology used to prepare this report is provided in Appendix 1, and definitions of the terms used in this report are provided in Appendix 2.

The providers prescribed under Section 75 of the *Health and Disability Services* (*Complaints*) Act 1995 are detailed in Appendix 3.

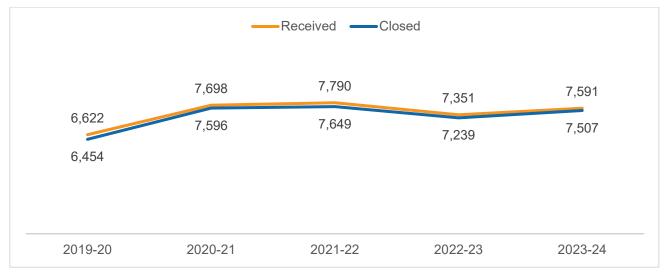


## 2. Complaint trends

The number of complaints about health services received and closed by prescribed providers since 2019-20 is shown in Figure 1. The Health Complaints Data Collection Program received information relating to 7,591 complaints during 2023-24. The number of complaints reported closed has generally been consistent with the number of complaints received; from 2019-20 to 2023-24 at least 97% of received complaints were closed within the same financial year.

The 7,591 complaints received in 2023-24 represent a 3% increase from the 7,351 complaints received in 2022-23.

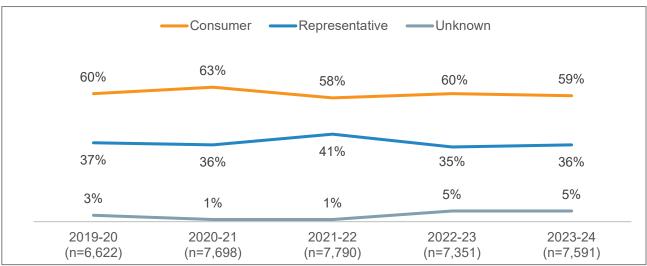




## 2.1 Individual making the complaint

In 2023-24, the majority of complaints (59%) were made by the consumer who received the service (see Figure 2). This trend has shown little variation across the previous five years.

Figure 2: Individual making the complaint

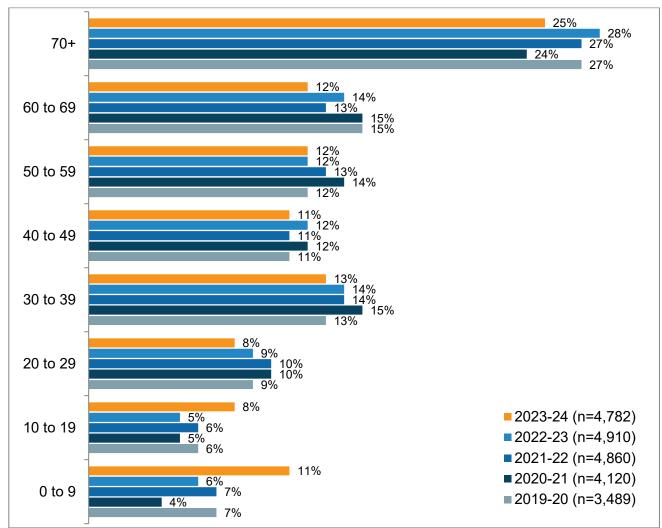


Totals may not sum to 100% due to rounding.

## HaDSCO

## 2.2 Age and gender

Since 2019-20, complaints were most likely to concern consumers aged 70 years and over (Figure 3).

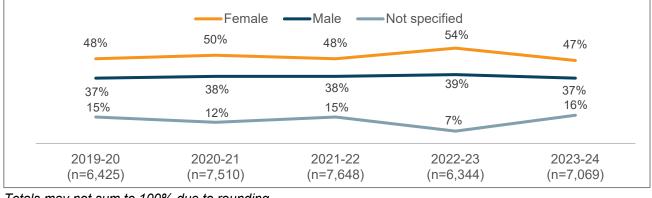


#### Figure 3: Age of the consumer

The data in Figure 3 is provided only for complaints where demographic information about the individual receiving a service was recorded. Totals may not sum to 100% due to rounding.

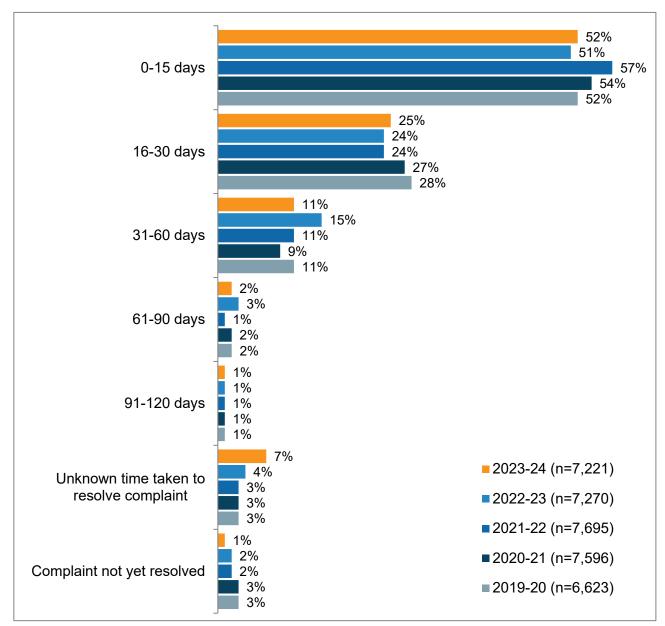
Over the past five years, the proportion of complaints concerning female consumers has been higher than complaints relating to male consumers (Figure 4).

### Figure 4: Consumer gender



## 2.3 Time taken to resolve complaints

The time taken to resolve complaints is shown in Figure 5. At least 75% of complaints were resolved in 30 days or less across the five-year period. There was a decrease in the proportion of complaints closed in the 31-60 days and 61-90 days categories in 2023-24.



#### Figure 5: Time taken to resolve complaints

The following categories are not displayed in Figure 5 due to accounting for less than 1% of complaints: 121-150 days; 151-180 days; 181-210 days; and 211+ days.

Totals may not sum to 100% due to rounding. In some instances, data was not recorded by prescribed providers.

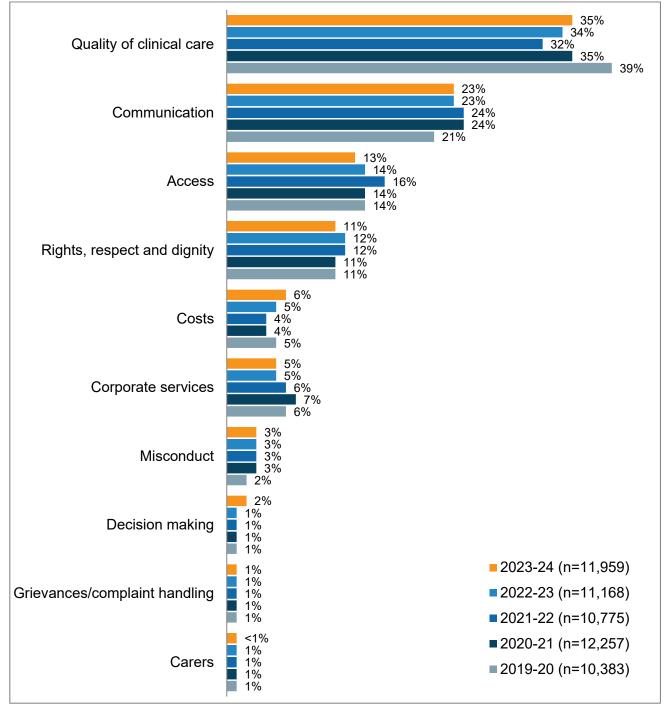


## 2.4 Complaint issue categories

The issue categories identified in complaints from 2019-20 to 2023-24 are shown in Figure 6. There has been minimal change in the comparative frequency of issue categories from year to year.

In total, 11,959 issues were identified in the 7,591 complaints received in 2023-24. This represents an increase of 7% from the 11,168 issues recorded in 2022-23.





Totals may not sum to 100% due to rounding.

A breakdown of the individual complaint issues associated with each issue category is provided from page 10 through page 18.

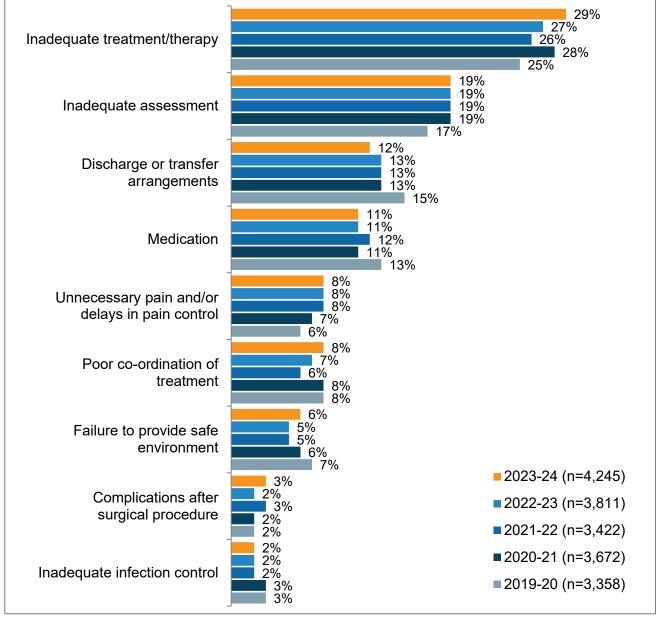


## 2.4.1 Complaints about quality of clinical care

Quality of clinical care refers to the assessment, planning, implementation and evaluation of clinical care by any health care professional. Issues such as treatment, assessment and medication all fall within the scope of the quality of clinical care complaint category.

Issues related to quality of clinical care were the most frequently identified over the last five years (Figure 6). Figure 7 shows the issues associated with quality of clinical care.





The following issues are not displayed in Figure 7 due to accounting for less than 2% of issues: 'Complications after non-surgical procedure'; 'Refusal to refer or assist to obtain a second opinion'; and 'Patient's test results not followed up'. As such, totals will not sum to 100% due to rounding.

Within the quality of clinical care category:

- 'Inadequate treatment/therapy' was the most common issue in 2023-24 (29%) and has been the most common category since 2019-20.
- 'Inadequate assessment' (19%) and 'discharge or transfer arrangements' (12%) were the second and third most common issues respectively in 2023-24.

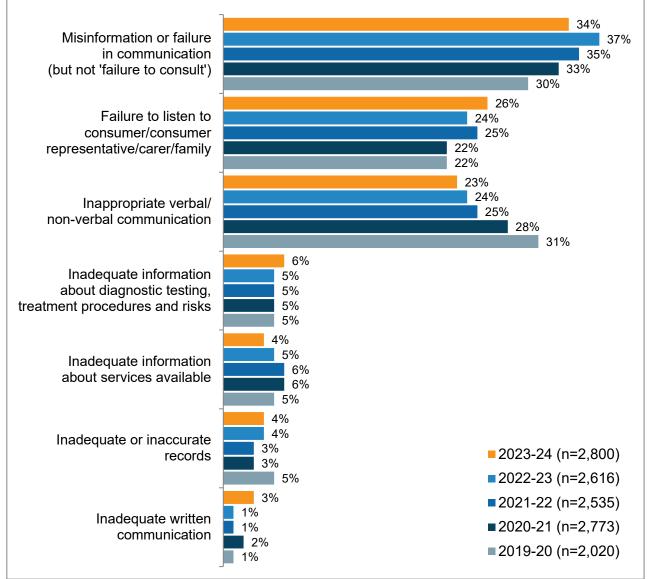


## 2.4.2 Complaints about communication

Complaints related to communication refer to the quality and quantity of information provided about treatment, risks and outcomes. Issues such as inadequate information, misinformation or the failure by a prescribed provider to listen and act on the information provided all fall within the scope of this category.

Communication was the second most frequently identified issue category over the past five years (Figure 6). Figure 8 shows the issues associated with communication.





Totals may not sum to 100% due to rounding.

Across the issues associated with communication:

- In 2023-24, 'Misinformation or failure in communication (but not 'failure to consult')' was the most frequently cited issue (34%).
- 'Inappropriate verbal/non-verbal communication' has decreased proportionally in each of the previous five years; from 31% in 2019-20 to 23% in 2023-24.

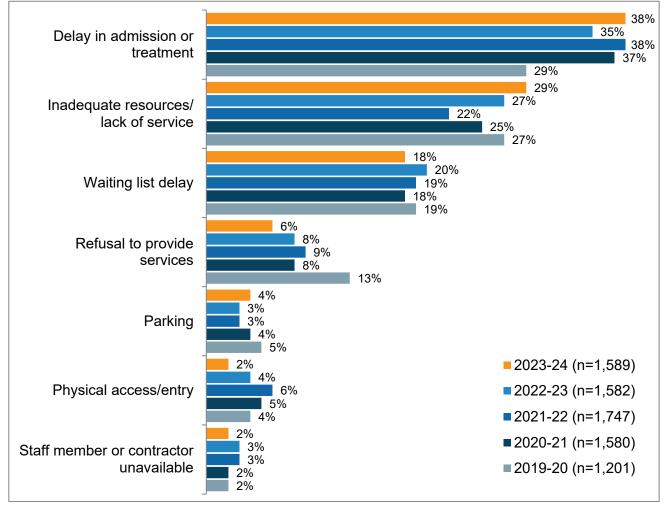


### 2.4.3 Complaints about access

Complaints related to access refer to the availability of services in terms of location, waiting times and other constraints that limit the service. Issues about delays in admission, waiting lists, lack of resources and refusal to provide a service fall within the scope of this category.

Complaints regarding access were the third most frequently identified in 2023-24, consistent with previous years (Figure 6). Figure 9 shows the issues identified in complaints about access.





The following issue is not displayed in Figure 9 due to accounting for less than 2%: 'Failure to provide advice about transport options'. Totals may not sum to 100% due to rounding.

Within complaints regarding access:

- 'Delay in admission or treatment' was the most common issue in 2023-24 at 38%, matching 2021-22 for the highest proportion.
- The proportion of 'inadequate resources/lack of service' issues has risen to 29%, up 7 percentage points from the five-year low of 22% in 2021-22.

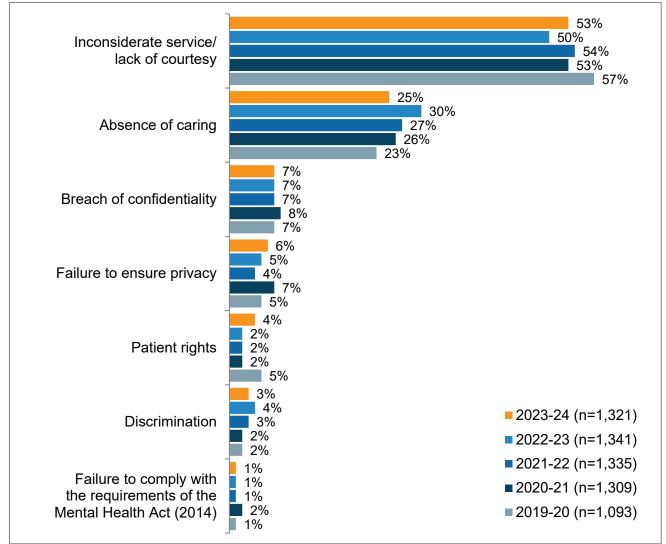


## 2.4.4 Complaints about rights, respect and dignity

Complaints related to the rights, respect and dignity category refer to the consumers mandated or legislated human and health care rights. Issues such as inconsiderate service, failure to ensure privacy and breaches of confidentiality all fall within the scope of this category.

Rights, respect and dignity was the fourth most frequently identified issue category over the past five years (Figure 6). Figure 10 shows the issues identified within the complaints about rights, respect and dignity.

Figure 10: Issues identified in complaints about rights, respect and dignity



The following issues are not displayed in Figure 10 due to accounting for less than 2%: 'Certificate or report problems'; 'Translating and interpreting service problems'; and 'Denying or restricting access to personal health records'. As such, totals will not sum to 100% due to rounding.

'Inconsiderate service/lack of courtesy' and 'absence of caring' have remained the most common issues, combining to account for approximately 80% of issues relating to rights, respect and dignity over the past five years.

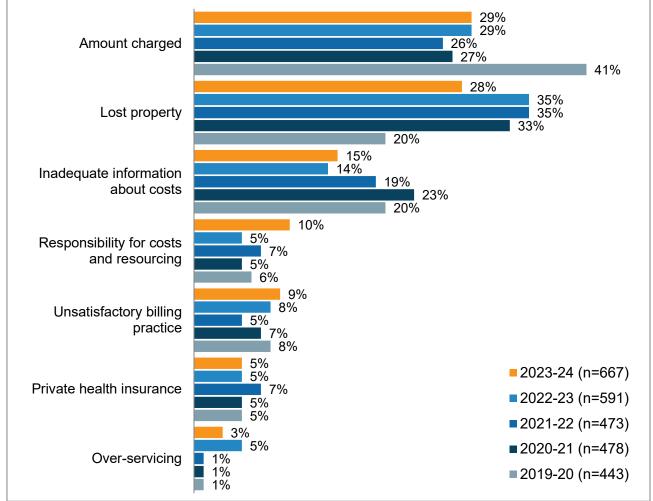


## 2.4.5 Complaints about cost

Complaints related to the cost category refer to issues about costs and fee structures. Issues such as inadequate information about costs, the amount charged and unsatisfactory billing practices all fall within the scope of this category.

Cost issues were the fifth most frequently identified in 2023-24 (Figure 6). Figure 11 shows the issues identified within the complaints about cost.





Totals may not sum to 100% due to rounding.

Within complaints regarding cost:

- 'Amount charged' was the most common cost related issue in 2023-24. This issue remained 12 percentage points below the five-year maximum of 41% seen in 2019-20.
- 'Lost property' was the second most common cost related issue in 2023-24 (28%). This category has decreased proportionally from 35% in 2021-22 and 2022-23 to 28% in 2023-24.
- 'Inadequate information about costs' has remained below the five-year maximum of 23% seen in 2020-21.
- There has been an increasing trend in the 'unsatisfactory billing practice' issue category from 2021-22 to 2023-24.



### 2.4.6 Complaints about corporate services

Complaints related to the corporate services category refer to all corporate issues unrelated to the provision of clinical care such as catering, cleaning, security and the physical surroundings and environment of the health service.

Corporate services were the sixth most frequently identified issue category over the past five years (Figure 6). Figure 12 shows the issues identified within the complaints about corporate services.

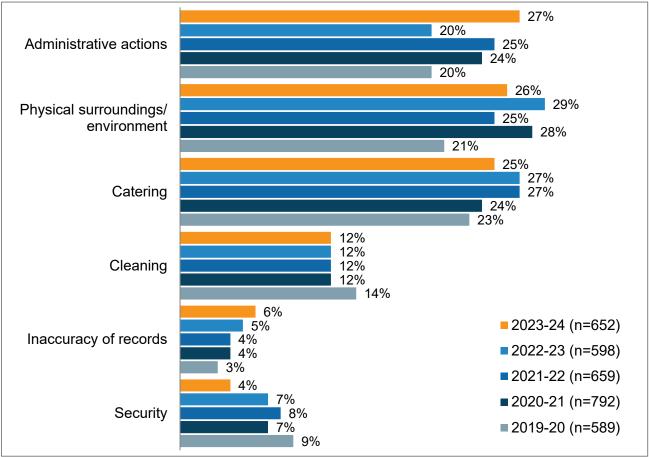


Figure 12: Issues identified in complaints about corporate services

Totals may not sum to 100% due to rounding.

For complaints about corporate services, the top three issues have been 'administrative actions', 'physical surroundings/environment' and 'catering' in varying order. In 2023-24, 'administrative actions' was the top category for the first time in the five-year period.

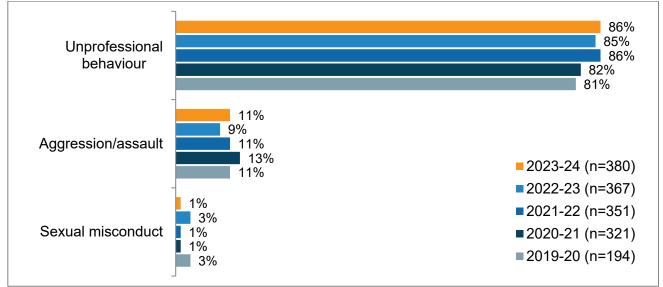


## 2.4.7 Complaints about misconduct

Complaints related to the misconduct category refer to alleged unethical and illegal practices. Issues such as aggression/assault, unprofessional behaviour and illegal practices all fall within the scope of this category.

The proportion of complaints citing misconduct over the past five years has remained consistent at between 2-3% of all complaint issues (Figure 6). Figure 13 shows the issues identified within the complaints about misconduct.

'Unprofessional behaviour' has accounted for at least 81% of complaint issues regarding misconduct over the past five years.



#### Figure 13: Issues identified in complaints about misconduct

The following issues are not displayed in Figure 13 due to accounting for less than 2%: 'Physical or mental impairment of health professional'; 'Sexual impropriety'; 'Illegal practices'; and 'Fraud/illegal practice of a financial nature'. Totals may not sum to 100% due to rounding.



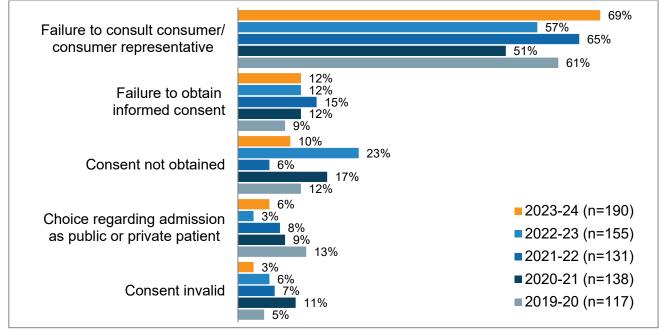
## 2.4.8 Complaints about decision making

Complaints related to the decision making category refer to consultation with the consumer or their representatives regarding choices about their treatment. Issues such as failure to consult consumer/consumer representative, choice regarding admission as public or private patient and consent issues all fall with the scope of this category.

The proportion of issues associated with decision making increased slightly in 2023-24 (Figure 6). Figure 14 shows the issues identified within the complaints about decision making.

'Failure to consult consumer/consumer representative' has accounted for the majority of complaint issues regarding decision making over the past five years. 'Consent not obtained' decreased proportionally from 23% in 2022-23 to 10% in 2023-24.

#### Figure 14: Issues identified in complaints about decision making



Totals may not sum to 100% due to rounding.

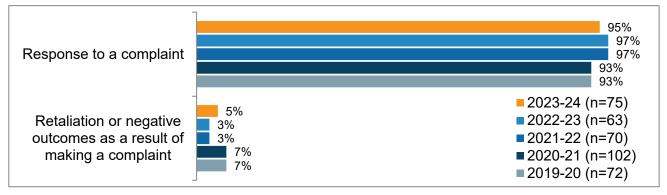


## 2.4.9 Complaints about grievances/complaint handling

Complaints related to the grievances/complaint handling category refer to the timely and fair management of a complaint. The complaint issues associated with this category are 'response to a complaint' and 'retaliation or negative outcomes as a result of making a complaint'.

The proportion of issues associated with grievances/complaints handling over the past five years has remained consistent at 1% of all complaint issues. From 2019-20 to 2023-24, 'response to a complaint' accounted for at least 93% of the complaint issues.

#### Figure 15: Issues identified in complaints about grievances/complaint handling



Totals may not sum to 100% due to rounding.

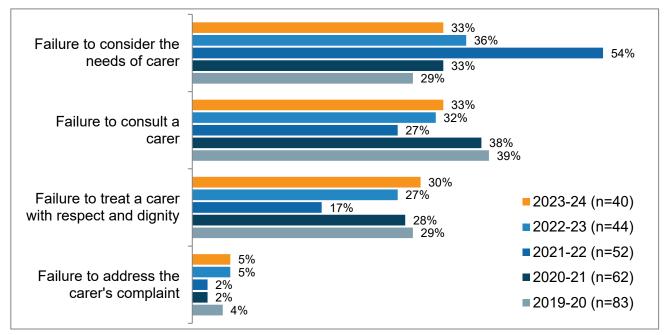


### 2.4.10 Complaints about carers

Complaints related to the carers category refer to how the provider treats the carer of the consumer. Issues such as failure to consider the needs of a carer, failure to treat a carer with respect and dignity and failure to consult a carer all fall within the scope of this category.

The proportion of issues associated with carers over the past five years has remained consistent at 1% or less of all complaint issues (Figure 6). Figure 16 shows the issues identified within the complaints about carers.

'Failure to consider the needs of carer' decreased proportionally from 54% in 2021-22 to 33% in 2023-24, while 'failure to treat a carer with respect and dignity' increased proportionally from 17% in 2021-22 to 30% in 2023-24.



#### Figure 16: Issues identified in complaints about carers

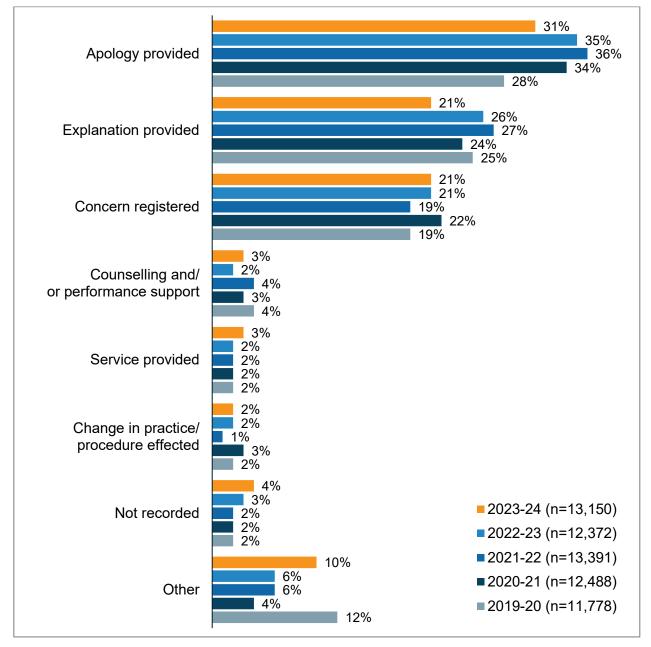
Totals may not sum to 100% due to rounding.

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## 3. Outcomes achieved

A range of outcomes were achieved from the complaints managed by prescribed providers from 2019-20 to 2023-24. These are shown in Figure 17.





The following outcomes are not displayed in Figure 17 due to accounting for less than 2% of outcomes: Change in policy effected; Costs refunded/reduced; Complaint withdrawn by complainant; Compensation paid; Agreement not reached; Unknown outcome; and Complaint not yet resolved. Totals may not sum to 100% due to rounding.

Across the outcomes, the following findings were observed:

- When comparing 2019-20 to 2023-24 the number of outcomes achieved increased by 12%, while there was a 16% increase in complaints closed over the same time period.
- Over the past five years, the 'apology provided', 'explanation provided', and 'concern registered' categories have accounted for the majority of outcomes resulting from complaints.

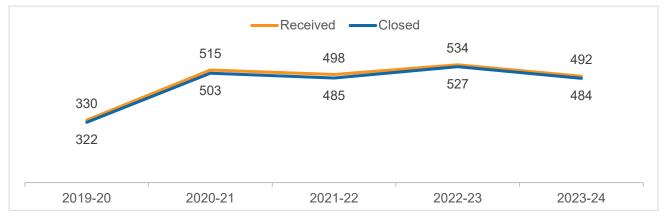
## HaDSCO

## 4. Mental health complaints

Under Section 75 of the *Health and Disability Services (Complaints) Act 1995* and the *Health and Disability Services (Complaints) Regulations 2010*, each year HaDSCO receives complaints data from prescribed government, non-government and not-for-profit health service providers in Western Australia. During the 2015-16 financial year, HaDSCO began collecting a sub-set of mental health complaint data from the public Health Service Providers<sup>1</sup> (HSPs). This section provides an overview of the mental health data collected over the past five years.

In 2023-24, details of 492 complaints concerning 714 issues were submitted to HaDSCO. This represents an 8% decrease from the number of complaints received in 2022-23 (534) as indicated in Figure 18.





## 4.1 Individual making the complaint

For mental health complaints received in 2023-24, the complaint was more likely to be made by the consumer rather than their representative (Figure 19). This is similar to health complaints, where the majority are made by the consumer (Figure 2).

Figure 19: Individua	al making the menta	al health complaint
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	Cons	umer —Repres	sentative	
47%	53%	52%	57%	55%
53%	47%	48%	43%	45%
2019-20 (n=330)	2020-21 (n=515)	2021-22 (n=498)	2022-23 (n=534)	2023-24 (n=492)

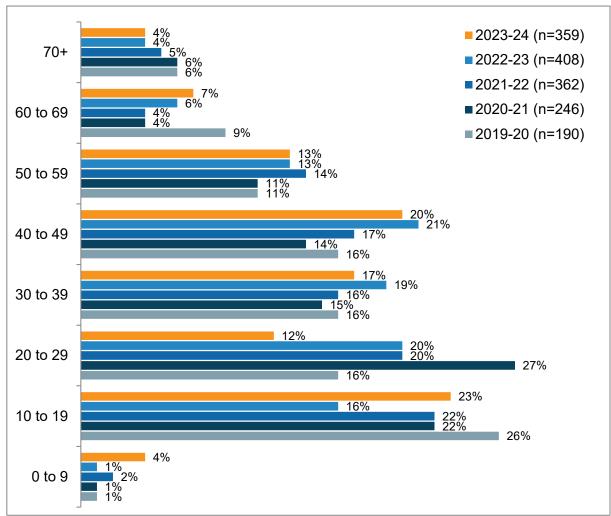
Totals may not sum to 100% due to rounding.

<sup>&</sup>lt;sup>1</sup> The public Health Service Providers are: Child and Adolescent Health Service, East Metropolitan Health Service, North Metropolitan Health Service, South Metropolitan Health Service and Western Australian Country Health Service.



### 4.2 Age and gender of consumer

Mental health complaints received by the HSPs in 2023-24 were most likely to concern service users aged between 10 to 19 (23%) or service users aged between 40 to 49 (20%). A detailed breakdown of age can be found below in Figure 20.



#### Figure 20: Age of consumer

Totals may not sum to 100% due to rounding.

Similar to health complaints over the past five years, the proportion of complaints about mental health services concerning female consumers has been higher than complaints relating to male consumers. This breakdown is shown below in Figure 21.

#### Figure 21: Gender of consumer

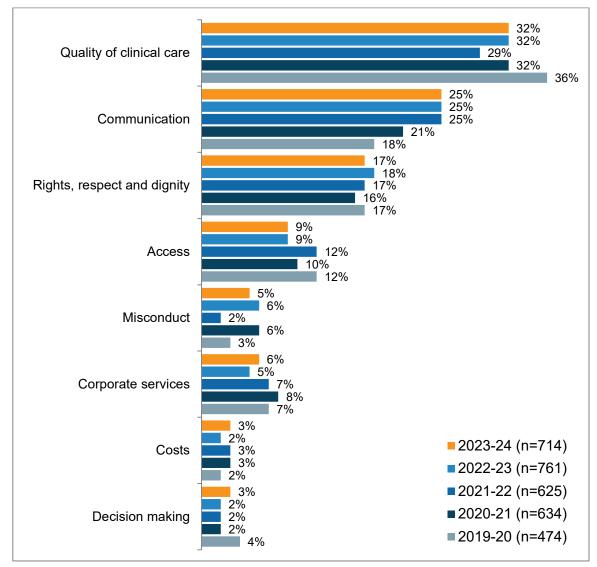
	Female	-Male	Not specified	
50%	52%	53%	48%	52%
36%	36%	34%	37%	29%
14%	11%	14%	16%	20%
2019-20 (n=330)	2020-21 (n=512)	2021-22 (n=498)	2022-23 (n=534)	2023-24 (n=534)

Totals may not sum to 100% due to rounding.

### 4.3 Mental health issues

In 2023-24, 'quality of clinical care' (32%), 'communication' (25%) and 'rights, respect and dignity' (17%) were the most commonly identified issue categories in mental health complaints. 'Quality of clinical care' has accounted for at least 29% of mental health issues over the last five years. The proportion of communication issues has remained consistent at 25% over the last three years.

The issue categories identified in mental health complaints received over the past five years are shown in Figure 22.



#### Figure 22: Mental health issue categories

The following issues are not included in Figure 22 due to accounting for fewer than 2% of issues in all five years: 'Carers' and 'grievances/complaint handling'. As such, totals may not sum to 100%.

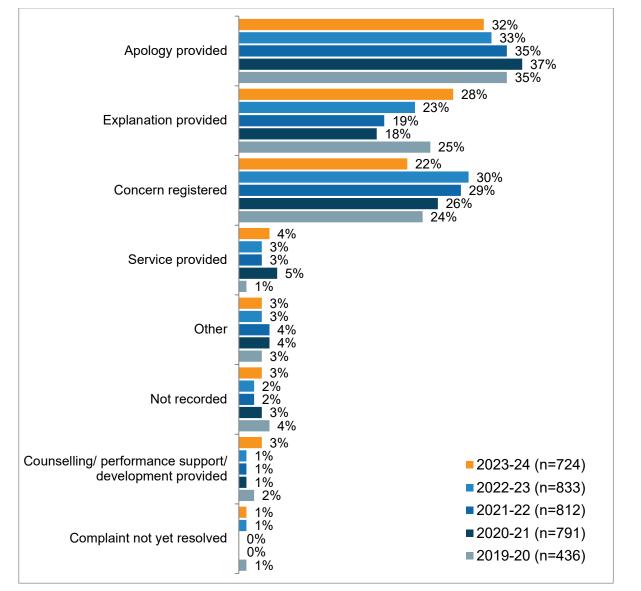
### 4.4 Mental health outcomes

A range of outcomes were achieved from the mental health complaints managed by the HSPs. Over the past five years, the three most common outcomes have remained consistent: 'apology provided', 'explanation provided' and 'concern registered'.

From 2019-20 to 2022-23, there was an increase in the proportion of complaints where the concern was registered, however, there was an 8 percentage point decrease from 2022-23 to 2023-24. Since 2020-21 the proportion of complaints where an explanation was provided has also increased from 18% to 28%.

The outcomes achieved in complaints received by HSPs over the past five years are shown in Figure 23.





The following outcomes are not displayed in Figure 23 due to accounting for less than 2% across all five years: Change in policy effected; Complaint withdrawn by complainant; Compensation paid; Cost refunded/reduced; Change in practice/procedure effected; and Agreement not reached. As such, totals will not sum to 100%.



## **Appendix 1: Health Complaints Data Collection Program**

Each year, under Section 75 of the *Health and Disability Services (Complaints) Act 1995* and the *Health and Disability Services (Complaints) Regulations 2010,* HaDSCO collects complaints data from prescribed public, private and not-for-profit health service providers in Western Australia. The data is collected through annual returns under HaDSCO's Health Complaints Data Collection Program and is used to identify systemic issues and trends across the health sector. The data collection also provides HaDSCO with the opportunity to work with service providers to improve their complaints management processes.

Only de-identified data is collected from prescribed service providers. A list of the prescribed health service providers can be found in Appendix 3. The information collected includes:

- Number of complaints.
- Demographics of consumers.
- Complaint issues and outcomes.
- Timeliness of complaint resolution.

#### Purpose of this report

The aggregate data received by HaDSCO includes all complaints received by prescribed providers in a given financial year. This report details the health complaint trends observed from 2019-20 to 2023-24 in the complaints data submitted to HaDSCO through the Health Complaints Data Collection Program.

The purpose of the report is to:

- Provide an overview of complaint data and emerging trends.
- Provide a profile of the consumers making complaints.
- Give an understanding of what people commonly complain about and what outcomes were achieved for them.

#### Notes on interpretation

Unless otherwise stated, all of the data presented in this report is for complaints closed by health service providers during the specified financial years (2019-20, 2020-21, 2021-22, 2022-23, or 2023-24). One complaint may have multiple objectives, issues, and/or outcomes; a complaint may also be made by more than one person. As a result, the charts included in the report may not sum to 100%.

### **Data limitations**

Data collected through the Health Complaints Data Collection Program is aggregate data. This means that:

- Case level outcomes and processes cannot be evaluated.
- Consumer demographics cannot be associated to specific complaint issues or outcomes.



## Appendix 2: Definition of terms used

**Complaint:** an expression of dissatisfaction made to an organisation, related to its products, services, staff or the handling of a complaint, where a response or resolution is explicitly or implicitly expected or legally required<sup>1</sup> (Standards Australia Limited, 2022).

Complaint categories: combines complaint issues (defined below) into specific themes.

**Complaint issues:** the specific issues, or concerns, that an individual has regarding the health or mental health services provided.

**Consumer:** an actual or potential recipient of health care from a prescribed provider. May also be known as a patient or customer.

**Consumer representative:** someone who makes a complaint on behalf of the consumer of a health service, for example a carer, guardian or spouse.

Outcome: actions taken by the organisation to resolve the complaint.

# Appendix 3: Health providers prescribed under s75 of the *Health and Disability Services (Complaints) Act* 1995

Prescribed entity
Abbotsford Private Hospital
Albany Community Hospice
Attadale Rehabilitation Hospital
Bethesda Hospital
Department of Justice
Child and Adolescent Health Service
East Metropolitan Health Service
North Metropolitan Health Service
South Metropolitan Health Service
WA Country Health Service
Glengarry Private Hospital
Hollywood Private Hospital
Joondalup Health Campus
Mount Hospital
Ngala Family Services
PathWest Laboratory Medicine WA
Peel Health Campus
Perth Clinic
Royal Flying Doctor Service
Silver Chain Nursing Association Incorporated
South Perth Hospital
St John Ambulance Service
St John of God Hospital <sup>1</sup>
Subiaco Private Hospital
The Marian Centre
Waikiki Private Hospital

<sup>1</sup> Includes the following St John of God Hospitals: Bunbury, Geraldton, Midland (private and public), Mt Lawley, Murdoch (including Murdoch Surgicentre) and Subiaco (including Wembley Day Surgery and Subiaco Eye Hospital).



Health and Disability Service Complaints Office (HaDSCO)

PO Box B61, Perth, Western Australia, 6838 Complaints and enquiries: (08) 6551 7600 Email: mail@hadsco.wa.gov.au Website: hadsco.wa.gov.au ABN: 67 123 349 587

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